

# JON R, KATHE

Concord, MA  
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## EXPERIENCE

### **NANKING ROAD CAPITAL MANAGEMENT (NRCM)**

*Performed various roles for this investment advisory firm focused on Taiwan and China*

**Hartford, CT**  
2006 to 2011

- CFO and CCO for both NRCM and the Taiwan Greater China Fund (TFC), for which NRCM acted as investment advisor (2011)
- Developed and implemented a marketing plan to broaden the AUM base for NRCM (2008-2010)
- Conducted a study on potential Small Cap investments in Taiwan, and subsequently acted as equity analyst for the Non-Tech portion of the TFC portfolio (2006-2008)

### **INDEPENDENT CONSULTANT**

*Highlights*

**Concord, MA**  
2000-2006

- Retained by Nomura Securities on four occasions to advise on potential new product offerings in line with their business expansion in the U.S. market. Conducted studies on the Mortgage, ABS, Private Equity and Illiquid Investment markets
- Conducted two market studies for Lewtan Industries, a developer of software that allowed issuers and investors alike to analyze in detail the performance of asset-backed securities.
- Ran several workshops for Novartis employees, both in the U.S. and Switzerland, using the ideas behind General Electric's "Workout" concept.

### **PAINE WEBBER, INC.**

*President, PaineWebber, Asia*

**Tokyo, Japan**  
1996 - 2000

- Senior corporate officer in Asia/Pacific region for PaineWebber Group
- Functionally ran the Fixed Income sales and trading operations based in Tokyo with a focus on MBS, Structured Investments, Euros and US Governments.

### **CONTINENTAL BANK/BANK OF AMERICA**

**Tokyo and London**  
1990-1996

- Ran Continental's Emerging Markets Group in Asia (1990-1994) and was the bank's Branch Manager in Tokyo until the Bank of America takeover (1992-1994)
- Head of Bank of America's Emerging Markets Group in Asia (1994-1996)
- Interim Head of Bank of America's Global Emerging Markets Group based in London (1996)

### **CITIBANK, NA**

**Hong Kong**  
1988-1990

- Head of the Philippines team for Citibank's Private Banking Group

### **CONTINENTAL BANK**

**Hong Kong**  
1986-1988

- Initiated and ran the bank's Emerging Markets business in Asia.

### **FIRST NATIONAL BANK OF CHICAGO**

**Hong Kong**  
1985-1986

- Responsible for the bank's Capital Markets business in Hong Kong and China.

### **CONTINENTAL BANK**

**Chicago and Taiwan**  
1978-1985

- Executive Trainee (1978-1979) and Asia/Pacific Group desk officer (1979-1981) in Chicago
- Commercial Banking Officer in the Taipei Branch of the bank (1981-1985).

## EDUCATION

**JOHNS HOPKINS, SCHOOL OF ADVANCED INTERNATIONAL STUDIES**  
*M.I.A.*

**Washington, DC**  
*1978*

**BOSTON COLLEGE**  
*B.A., Political Science, Summa Cum Laude*

**Chestnut Hill, MA**  
*1975*

## ADDITIONAL INFORMATION

- Languages: Proficient in Japanese. Conversational in Mandarin.
- Completed the AACSB Professionally Qualified Bridge Program in June, 2012